

Developing a Computer System for Automatically Identifying the Structure of Writing

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Presentation Outline

- Background
- Research Aim
- System Design
- Application to Research Abstracts
- Results
- Conclusions

Background

- Importance of Text Structure
 - Swales (1981, 1990), Carrell (1982)
Hinds (1982, 1983), Hoey (1994), Winter (1994)
- Studies on Text Structure
 - **TITLES** - Dudley-Evans (1994), Anthony (2001)
 - **ABSTRACTS** - Ayers (1993), Posteguillo (1996)
 - **INTRODUCTIONS** - Swales (1990), Anthony (1999)
 - **DISCUSSIONS** - Hopkins & Dudley-Evans (1988)
 - **PATENTS** - Bazerman (1994)
 - **GRANT PROPOSALS** - Connor & Mauranen (1999)
 - **LEGAL WRITING** - Bhatia (1993)

Background

- **Problems with Analyzing Text Structure**
 - A large corpus of text data
(The text data must 'ACURATELY' represent what we hope to study)
 - A lot of research time
(Time to analyze a lot of texts)
 - Good validation and reliability tests
- **Most Text Structure Studies are 'Small Scale'**

Background

- Swales (1981: p.13)

"In effect, the discourse analyst labels something as X and then begins to see X occurring all over the place"

Background

- Henry et al. (2001)
 - 40 Application Letters
- Tarone et al. (2000)
 - 2 Physics Research Articles
- Connor et al. (1999)
 - 34 Grant Proposals
- Williams (1999)
 - 5 Medical Research Articles
- Anthony (1999)
 - 12 Computer Science Research Article Introductions

Research Aim

- Develop a Computer System to Process and Analyze Text Structure Automatically
 - A *'Learning System'* for text structure
 - Easy to Process a Large Corpus of Text Data
 - Fast
 - The analytic process is clearly defined
 - Easy to test the reliability and validity

System Design

- 'Unsupervised Learning' vs..
'Supervised Learning'?
- In Unsupervised Learning,
 - Give the system text examples
 - Tell the system what 'features' to look at
 - Let the system find a model (set of classes) by defining a relation between the features and the examples
 - Classify new text examples by comparison with features in each class

Unsupervised Learning

- Give the system text examples
 - Text 1: Once upon a time, there was a ugly duckling.
 - Text 2: It lived on a lake.
 - Text 3: One day, the little bird turned into a swan.
 - Text 4: It lived happily, ever, after.
- Tell the system what 'features' to look at
 - All words except articles, No punctuation
- Define a relation between features and examples
 - Class 1 - once, upon, time, there, was, ugly, duckling
 - Class 2 - one, day, little, bird, turned, into, swan
 - Class 3 - it, lived, on, lake, happily, ever, after

Unsupervised Learning

- **Unsupervised learning system models often DO NOT match our models**
- **Classify new text examples**
 - Once upon a time, there were 3 bears (BEG)
 - The 3 bears lived in a big house. (MID)
 - They all stayed in the house happily ever after. (END)
- **The system will decide ...**
 - Class 1 (matching `once', `upon', `time', `there')
 - Class 3 (matching `lived')
 - Class 3 (matching `happily', `ever', `after')

System Design

- 'Unsupervised Learning' vs.
'Supervised Learning'?
- In Supervised Learning,
 - Give the system a structure model (set of classes)
 - Give the system examples of the model
 - Tell the system what 'features' to look at
 - Define a relation between the classes and the features
 - Classify new text examples by comparison with features in each class

Supervised Learning

- Give the system a structure model (set of classes)
 - Class 1: BEGINNING
 - Class 2: MIDDLE
 - Class 3: END
- Give the system examples of the model
 - BEG: Once upon a time, there was a ugly duckling.
 - MID: It lived on a lake.
 - MID: One day, the little bird turned into a swan.
 - END: It lived happily, ever, after.
- Tell the system what 'features' to look at
 - All words except articles and punctuation

Supervised Learning

- Define a relation between classes and features
 - Class 1 (BEG) - once, upon, time, there, was, ugly, duckling
 - Class 2 (MID) - it, lived, on, lake, one, day, little, bird, turned, into, swan
 - Class 3 (END) - lived, happily, ever, after
- Classify new text examples
 - Once upon a time, there were 3 bears (BEG)
 - The 3 bears lived in a big house. (MID)
 - They all lived in the house happily ever after. (END)
- The system will decide...
 - Class 1 (BEG) (matching 'once', 'upon', 'time', 'there')
 - Class 2 (MID) (matching 'lived')
 - Class 3 (END) (matching 'lived', 'happily', 'ever', 'after')

Supervised Learning

- Problem
 - We need labeled examples
 - But, many systems work well with only a few labeled examples

Application of System to Research Abstracts

- Give the system a structure model:
'Modified' CARS Model (Swales, 1990: Anthony, 1999)

Move 1 Establishing a Territory	1.1	Claiming centrality
	1.2	Making topic generalizations
	1.3	Reviewing items of previous research
Move 2 Establishing a niche	2.1A	Counter claiming
	2.1B	Indicating a gap
	2.1C	Question raising
	2.1D	Continuing a tradition
Move 3 Occupying the niche	3.1A	Outlining purpose
	3.1B	Announcing present research
	3.2	Announcing principal findings
	3.3	Evaluation of research
	3.4	Indicating RA structure

Application of System to Research Abstracts

- Give the system examples of the model
 - 100 Abstracts (IEEE Trans. on PDS) divided into 692 labeled 'Steps Units' (only examples from 6 classes)
 - 554 Step Units (80%) used for 'training' the system
 - 138 Step Units (20%) used for 'testing' the system
- Tell the system what 'features' to look at
 - All words (no punctuation, numbers)
 - Position of step unit in abstract (i.e. 1st, 2nd, 3rd, ...)
- (Reduce 'Noise' in Features)
 - Rank words by 'importance' using frequency
 - Rank words by 'importance' using χ^2 measure
 - Use the first x no. of words (2208 words total)

Application of System to Research Abstracts

- Define a relation between features and model
 - Use probability of words (features) being in each class

Probability = $\text{Frequency of Word} / \text{Total number of words}$

- Class 1 (Claiming Centrality)
- Class 2 (Making topic generalizations)
- Class 3 (Indicating a gap)
- Class 4 (Outlining purpose)
- Class 5 (Announcing principal findings)
- Class 6 (Evaluation of research)

- Class 1: Word 1 prob Word 2 prob Word 3 prob. ...
- Class 2: Word 1 prob Word 2 prob Word 3 prob. ...
- Class 3: Word 1 prob Word 2 prob Word 3 prob. ...
- Class 4: Word 1 prob Word 2 prob Word 3 prob. ...
- Class 5: Word 1 prob Word 2 prob Word 3 prob. ...
- Class 6: Word 1 prob Word 2 prob Word 3 prob. ...

Application of System to Research Abstracts

- Classify new text examples
 - For each new text, choose class with highest probability of having words (features)
 - e.g. New Text only has features 3, 8, 10
 - Class 1 P= p. f3 x p. f8 x p. f10 = 1.5
 - Class 2 P= ... = 1.8
 - Class 3 P= ... = 2.7
 - Class 4 P= ... = 2.3
 - Class 5 P= ... = 1.8
 - Class 6 P= ... = 1.2

■ **Choose Class 3**

Results

■ Classification Accuracy (Overall)

- 554 Step Units used for 'training' the system
- 138 Step Units used for 'testing' the system

No. of Features	Accuracy (Ranked by Freq)	Accuracy (Ranked by χ^2)
2208 (all)	56 %	56 %
1000	51 %	60 %
700	56 %	60 %
500	59 %	64 %
300	59 %	59 %
100	54 %	59 %

Note: Random guessing has an accuracy of 16.66% (NOT 50%!)
Choosing the most common class = 26%

Results

■ Classification Accuracy (Each Step Unit)

- Number of features = 500
- Ranked by chi² measure
- Accuracy (overall) = 64%

Class	Step 1.1	Step 1.2	Step 2.1b	Step 3.1b	Step 3.2	Step 3.3
Step 1.1	3 (43%)	3	0	1	0	0
Step 1.2	1	14 (64%)	1	2	4	0
Step 2.1b	0	1	2 (33%)	0	2	1
Step 3.1b	0	0	0	34 (92%)	3	0
Step 3.2	0	2	1	7	22 (58%)	6
Step 3.3	0	0	0	5	10	13 (46%)

Note: Classifications correspond with CARS Model 'moves'
 The system makes the same mistakes as humans.

Results

- Classification Accuracy (For different data sets)
 - Number of features = 500
 - Ranked by χ^2 measure

	A c c u r a c y (R a n k e d b y χ^2)
D a t a S e t 1	6 4 %
D a t a S e t 2	6 2 %
D a t a S e t 3	5 9 %
D a t a S e t 4	5 6 %
D a t a S e t 5	5 6 %
A v e .	5 9 %

Results

- A 'Windows' Interface
 - To enable researchers to use the system, it needs to be easily accessible via a 'windows' interface
 - A prototype 'windows' system has been built using the programming language PERL 5.6
 - The system analyzes the structure of new texts
 - The structure suggestions can be edited/corrected
 - The new texts can be added to a database of training examples, which will then improve the system

Conclusions

- A computer system was developed to analyze text structure
 - Learning method: 'Supervised Learning'
 - Training examples: 554
 - Testing example: 138
 - Accuracy 64%
- System errors are similar to those made by humans
- The accuracy needs to be improved
 - Currently working on better feature selection

Conclusions

- The system runs in a 'windows' environment
- The system offers 'suggestions' which can be edited by the user
- The 'windows' interface needs to be enhanced
 - I hope to make a complete environment to help researchers solve many 'supervised learning' problems
 - Move analysis, Text categorization, Author authenticity etc.

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